

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14531.101

U.S. PTO  
09/836834

04/17/01

In Re Application Of: Donald M. Gray, III, et al.

Serial No.  
Not Yet Assigned

Filing Date  
April 17, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Title: **METHODS AND SYSTEMS FOR DISTRIBUTING MULTIMEDIA DATA OVER HETEROGENEOUS NETWORKS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: **April 17, 2001**

**Adrian J. Lee**  
Attorney for Applicant  
Registration No. 42,785



**022913**

PATENT TRADEMARK OFFICE

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(Use several sheets if necessary)

14531.101

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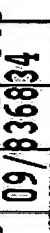
Donald M. Gray, III, et al.

**April 17, 2001**

**Not Yet Assigned**

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U.S. 90391



70/11/70

[illegible]

1	<p><b>J-C. Bolot and T. Turletti</b>  <b>Experience with Control Mechanisms for Packet Video in the Internet</b>  <b>Computer Communication Review</b>  <b>January 1998, Vol. 28, No. 1</b>  <b>Pgs. 4 - 15</b></p>
2	<p><b>Marwan Krunz and Satish K. Tripathi</b>  <b>Impact of video scheduling on bandwidth allocation for multiplexed MPEG streams</b>  <b>Multimedia Systems</b>  <b>1997, Vol. 5, No. 6</b>  <b>Pgs. 347 - 357</b></p>

**DATE CONSIDERED**

**Form PTO-A820**  
**(also form PTO-1449)**

Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE

**SHEET 1 OF 2**

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>14531.101</b>	SERIAL NO. <b>Not Yet Assigned</b>
	FILING <b>April 17, 2001</b>	GROUP <b>Not Yet Assigned</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		3	Marwan Krunz, Wei Zhao, and Ibrahim Matta Scheduling and bandwidth allocation for the distribution of archived video in VOD systems Telecommunication Systems September 1998, Vol. 9 Pgs. 335 - 355

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.